

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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|--|---|--|
| -----X   |   | :  |
| <b>In re</b>                                   | : | <b>Chapter 11 Case No.</b>               |
|  | : |  |
| <b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>  | : | <b>08-13555 (SCC)</b>                    |
|  | : | <b>(Jointly Administered)</b>            |
| <b>Debtors.</b>                                | : |  |
|  | : | <b>Ref. Docket Nos. 46690, 46691,</b>    |
|  | : | <b>46752, 46888-46893, 46952, 46955,</b> |
|  | : | <b>46969, 46975, 46990, 46991</b>        |
|  | : |  |
| -----X   |   | :  |
| <b>In re</b>                                   | : | <b>Chapter 11 Case No.</b>               |
|  | : |  |
| <b>LEHMAN BROTHERS SPECIAL FINANCING INC.,</b> | : | <b>08-13888 (SCC)</b>                    |
|  | : | <b>(Jointly Administered)</b>            |
| <b>Debtors.</b>                                | : |  |
|  | : | <b>Ref. Docket No. 398, 399</b>          |
|  | : |  |
| -----X   |   | :  |

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 21, 2014, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
2<sup>nd</sup> day of December, 2014

/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2018

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ALDEN GLOBAL ADFERO BPI FUND, LTD  
TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK)  
C/O ALDEN GLOBAL CAPITAL, LLC  
ATTN: ITHRAN OLIVACCE  
885 THIRD AVENUE, 34TH FLOOR  
NEW YORK NY 10022

Please note that your claim # 63127-04 in the above referenced case and in the amount of  
\$242,131.00 allowed at \$241,247.98 has been transferred (unless previously expunged by court order)

GOLDMAN SACHS & CO.  
TRANSFEROR: ALDEN GLOBAL ADFERO BPI FUND, LTD  
ATTN: MICHELLE LATZONI  
200 WEST STREET  
NEW YORK NY 10282

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 46990 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 11/21/2014

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 21, 2014.

## **EXHIBIT B**

TIME: 10:30:04  
DATE: 11/21/14

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

| Name   | Address   |
|--|---|
| ALDEN GLOBAL ADFERO BPI FUND, LTD              | TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022 |
| ALDEN GLOBAL ADFERO BPI FUND, LTD.             | TRANSFEROR: BARCLAYS BANK PLC C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022                    |
| ALDEN GLOBAL ADFERO BPI FUND, LTD.             | TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022 |
| BANK J. SAFRA SARASIN LTD.                     | CLEARLY GOTTLIEB STEEN & HAMILTON LLP ATTN: ANDRE A. BERNSTEIN, ESQ. 12, RUE DE TILSITT PARIS 75008 FRANCE  |
| BANK J. SAFRA SARASIN LTD.                     | BAER & KARRER LTD., ATTN. PETER HSU BRANDENKSTRASSE 90 ZURICH CH-8027 SWITZERLAND   |
| BARCLAY BANK PLC                               | ATTN: JEFF LONGMUIR BARCLAYS BANK PLC 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019   |
| BARCLAY BANK PLC                               | TRANSFEROR: RAYMOND JAMES & ASSOCIATES, INC. ATTN:DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019                                    |
| CITIBANK PRIVATKUNDEN AG & CO. KGAA            | PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: DOUGLAS R. DAVIS 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019-6064                              |
| CITIBANK PRIVATKUNDEN AG & CO. KGAA            | ATTN: WILHELM HUELSEN KASERNENSTRASSE 10 DUSSELDORF 40213 GERMANY   |
| DBS BANK LTD                                   | TRANSFEROR: SOCIETE GENERALE BANK & TRUST SINGAPORE BRANCH 2 CHANGI BUSINESS PARK CRESCENT #09-05 LOBBY B DBS ASIA HUB SINGAPORE 486029 CHINA       |
| EUROSAIL-UK 2007-4BL PLC                       | C/O WILMINGTON TRUST SP SERVICES (LONDON) LIMITED ATTN: MARTIN MCDERMOTT/MARK FILER THIRD FLOOR 1 KING'S ARMS YARD LONDON EC2R 7AF UNITED KINGDOM   |
| GOLDENTREE MULTISTRATEGY FINANCING, LTD        | TRANSFEROR: TURNPIKE LIMITED ATTN: MAREK BROZYNA 300 PARK AVENUE, 25TH FLOOR NEW YORK NY 10022  |
| GOLDMAN SACHS & CO.                            | TRANSFEROR: ALDEN GLOBAL ADFERO BPI FUND, LTD ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282  |
| GOLDMAN SACHS & CO.                            | TRANSFEROR: ALDEN GLOBAL ADFERO BPI FUND, LTD. ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282   |
| GOLDMAN SACHS & CO.                            | TRANSFEROR: LIQUIDATION OPPORTUNITIES MASTER FUND, L.P. ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282                                    |
| HBK MASTER FUND L.P.                           | TRANSFEROR: TURNPIKE LIMITED ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282   |
| HBK MASTER FUND L.P.                           | TRANSFEROR: EUROSAIL-UK 2007-4BL PLC C/O HBK SERVICE LLC, ATTN: GENERAL COUNSEL 2101 CEDAR SPRINGS ROAD, SUITE 700 DALLAS TX 75201                  |
| HBK MASTER FUND L.P.                           | TRANSFEROR: EUROSAIL-UK 2007-4BL PLC C/O HBK SERVICES LLC, ATTN: GENERAL COUNSEL 2101 CEDAR SPREINGS ROAD, SUITE 700 DALLAS TX 75201                |
| LANGHE, MR. HUBERTUS                           | TRANSFEROR: CITIBANK PRIVATKUNDEN AG & CO. KGAA FAULENSIEKSWEG 43 BRAKEL 33034 GERMANY  |
| LBW (SCHWEIZ) AG                               | BEETHOVENSTRASSE 11 ZURICH CH-8027 SWITZERLAND  |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: CHENAVARI FINANCIAL ADVISORS LTD C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022                     |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: CHENAVARI FINANCIAL ADVISORS LTD C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022          |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: CHENAVARI FINANCIAL ADVISORS LTD. C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022         |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: ILLIQUIDX LLP C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022  |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: ILLIQUIDX LLP C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022                             |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: ILLIQUIDX LLP C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022                             |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: ILLIQUIDX LLP C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022                             |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: METHOD INVESTMENTS & ADVISORY LTD C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022                    |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: NEWFINANCE ALDEN SPV ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022   |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: THE ROYAL BANK OF SCOTLAND, PLC C/O ALDEN GLOBAL CAPITAL; ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022          |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: YORVIK PARTNERS LLP ATTN: CHRIS SCHOLFIELD C/O ALDEN GLOBAL CAPITAL 885 THIRD AVENUE NEW YORK NY 10022                                  |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: YORVIK PARTNERS LLP C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022                                  |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: YORVIK PARTNERS LLP C/O ALDEN GLOBAL CAPITAL; ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022                      |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: YORVIK PARTNERS LLP CHRIS SCHOLFIELD C/O ALDEN GLOBAL CAPITAL 885 THIRD AVENUE NEW YORK NY 10022  |
| LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.    | TRANSFEROR: YORVIK PARTNERS LLP CHRIS SCHOLFIELD C/O ALDEN GLOBAL CAPITAL 885 THIRD AVENUE NEW YORK NY 10022  |
| RAYMOND JAMES & ASSOCIATES, INC.               | STEPHANIE BUCHEL RAYMOND JAMES FINANCIAL INTERNATIONAL LIMITED BISHOPSGATE COURT 4-12 NORTON FOLGATE LONDON E 1 6DB UNITED KINGDOM                  |
| RAYMOND JAMES & ASSOCIATES, INC.               | TRANSFEROR: CREDITO PRIVATO COMMERCIALE SA ATTN: JONATHAN STEIN 880 CARILLON PARKWAY ST. PETERSBURG FL 33716  |
| REICHMUTH & CO PRIVATEBANKIERS                 | TRANSFEROR: BANK J. SAFRA SARASIN LTD. RUE TLIGASSE 1 LUZERN 7 LUZERN CH-6000 SWITZERLAND   |
| SOCIETE GENERALE BANK & TRUST SINGAPORE BRANCH | ATTN: HEAD OF OPERATIONS 1 RAFFLES QUAY #35-01 NORTH TOWER SINGAPORE 048583 SINGAPORE   |

EPIQ BANKRUPTCY SOLUTIONS, LLC

TIME: 10:30:04  
DATE: 11/21/14

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 2

| Name             |   | Address                   |
|------------------|---|---------------------------|
| TURNPIKE LIMITED | TRANSFEROR: ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P. C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022 | 08-13555-mg               |
| TURNPIKE LIMITED | TRANSFEROR: ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, LP C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022              | Doc 47151                 |
| TURNPIKE LIMITED | TRANSFEROR: ALDEN GLOBAL VALUE RECOVERY MASTER FUND, L.P. C/O ALDEN GLOBAL CAPITAL; ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022          | Filed 12/02/14            |
| TURNPIKE LIMITED | TRANSFEROR: BARCLAYS BANK PLC C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022                                  | Entered 12/02/14 17:13:34 |
| TURNPIKE LIMITED | TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022               | Main Document             |
| UBS AG           | TRANSFEROR: LBFW (SCHWEIZ) AG ATTN: MR. HUGO KOLLER BAHNHOFSTRASSE 45 ZURICH 8001 SWITZERLAND   | Pg 7 of 7                 |

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